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09/942,905	08/31/2001	Osamu Imaichi	1021.40599X00 8131	
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Please find below and/or attached an Office communication concerning this application or proceeding.

If NO period for reply is specified above, the maximum statutory period will apply and will expire 6 MONTHS from the mailing date of this communication.

		Applicat	ion No.	Applicant(s)			
		09/942,9	905	IMAICHI ET AL.			
	Office Action Summary	Examine	er ·	Art Unit			
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Status	,						
1)⊠	Responsive to communication(s) file	ed on 07 August 200	6.				
		2b) This action is a		•			
•		nce this application is in condition for allowance except for formal matters, prosecution as to the merits is					
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Dispositi	on of Claims	•	•				
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_	Claim(s) <u>1-23</u> is/are rejected.						
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Applicati	on Papers						
	The specification is objected to by the						
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	2. Certified copies of the priority documents have been received in Application No						
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DETAILED ACTION

1. This Office action is response to Applicants' AMENDMENT filed on 08/07/2006.

2. Claims 1-23 are pending in this Application.

Response to Arguments

3. Applicant's arguments filed 08/07/2006 have been fully considered but they are not persuasive.

Applicant argued that, "The features of claims 1, 6 and 16 are described in the specification at page 3, line 31 to page 4 line 1 and at page 4 lines 12-25." (page 9, third paragraph).

Page 3 and Page 4 of specification is belonging to Summary of the Invention section. It is still nowhere to show about "generated from a previous search of a document database;" in that section as well as Detailed Description of the Invention.

Applicant argued that, "claim 1 recites a system ... necessarily implemented in hardware." (page 10, lines 1-3).

Examiner does not agree with applicant's argument that, a system is necessarily implemented in hardware. No, it is not. For example, search engine system, an accounting and a payroll system. They are not components of physical machine or objects or hardware. Applicant is advised to amend the claims to provide a clear and concise language to the claims in order to one ordinary skill in the art to make and use the invention as claimed.

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Applicant argued that, "Applicants traverse this claim objections (as stated in section 8 of page 3) (page 10 the last paragraph and page 11, the first paragraph).

In the instant application, the instant claimed limitation is: "A document search system comprising:

an associative server which is capable of instructing a document search by specifying a document database j to be searched next among a plurality of document databases based on a search result generated from a previous search of a document database i,", as recited in claim 1, where as applicants argued that, "As clearly recited in independent claims 6 and 16, "i" is modified by the descriptive term "document database" to describe a first database referred to as a "document database i". Similarly, "j" is modified by the descriptive term "document database" to describe a second database referred to as a "document database" to describe a second database referred to as a "document database j". However, Examiner previously indicated that "Claims 1, 6, 10, 11, 12, 13, 14, 15, 16, 21, 22, & 23 are objected to because of the following informalities: the subscript (i, j) in the document database and x_{ij} should be spell out or indicate clearly in the claim language, (That is, what is the meaning of i, j, and x)". Thus, the claim objections are still maintained.

Applicant argued that, "an associative server which is capable of instructing a document search by specifying a document database_i to be searched next among a plurality of document databases based on a search result generated from a previous search of a document database_i". (page 14, the second paragraph).

Patent No.: US 5,454,105 issued to Hatakeyama et al. (hereinafter Hatakeyama) teaches the document search system or server consisting of a search function/unit is for

searching or retrieving full of text of documents containing a particular character string or strings from document database or databases, a set of search results containing the search term(s) (search character strings) of the search processing performed in the past may be provided in correspondence to the search request sources (figs. 4, 5, abstract, col. 6, lines 8-67). Also, in the course of execution, the search is still based on the prior search results storing in the buffer (abstract)

Applicant argued that, "an associate search recording table that records the number of times x(ij) of searching the document database j based on the search results generated from the previous searches of the document database I". (page 14, the last paragraph).

Hatakeyama teaches the number of times of searching full text of documents containing a particular character string or string from a document database or databases and the search result set is stored in the table (see fig. 17, col. 17, lines 10-28; col. 1, lines 12-20; also see fig. 22, col. 19, lines 47-60; also see col. 14, lines 17-45).

Claim Rejections - 35 USC § 101

- 4. 35 U.S.C. 101 reads as follows:
 - Whoever invents or discovers any new and useful process, machine, manufacture, or composition of matter, or any new and useful improvement thereof, may obtain a patent therefor, subject to the conditions and requirements of this title.
- 5. Claims 1-23 rejected under 35 U.S.C. 101. Because the bodies of claims 1, 6 and 16 in view of MPEP 2100 (IV)(B)(2)(b)(ii) sections are non statutory because they are **lacking of real world useful result**. They are missing the steps or processes

producing any useful result to the invention, of having a utility to convey the final result achieved by the claimed invention, that is, they are not producing a result tied to the real/physical world or this application is not a practical application. That is, these claims are missing "utility requirement" of 35 U.S.C. 101 (MPEP 2107.01), these claims must show that the claimed invention is "useful" for some purpose either explicitly or implicitly (Fisher, 421, F.3d 1356, 76 USPQ2d at 1230 and 1225 (Fed. Cir. 2005). That is, these claims are missing "utility requirement" of 35 U.S.C. 101 (MPEP 2107.01), these claims must show that the claimed invention is "useful" for some purpose either explicitly or implicitly (Fisher, 421, F.3d 1356, 76 USPQ2d at 1230 and 1225 (Fed. Cir. 2005). In addition, when the examiner has reason to believe that the claim is not for a practical application that produces a useful result, the claim should be rejected, thus requiring the applicant to distinguish the claim from the three 35 U.S.C. 101 judicial exceptions to patentable subject matter by specifically reciting in the claim the practical application. In such cases, statements in the specification describing a practical application may not be sufficient to satisfy the requirements for section 101 with respect to the claimed invention. Likewise, a claim that can be read so broadly as to include statutory and nonstatutory subject matter must be amended to limit the claim to a practical application. In other words, if the specification discloses a practical application of a section 101 judicial exception, but the claim is broader than the disclosure such that it does not require a practical application, then the claim must be rejected.

More specifically, the claimed subject matter provides for "an associative search recording table which records the number of times x(ij) of searching ... from the

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previous searches of said document database(j)". These produced result remains in the abstract and, thus, fails to achieve the required status of having real world value. For example, a "real world" result is that "calculating a price of an item to sell and conveying the calculated price to a potential customer/end-user."

Claims 1-5 and 10-12, "a document search system" does not fall into one of 4 categories of 35 USC 101 requires for the statute the subject matter: process (actual series of steps or acts); machine (parts of certain devices), manufacture (articles produced from raw or prepare materials) and composition of matter (2 or more substances). Thus, It is software per se. So, the "means for" in claims 2 and 4 is also software per se, too.

Claims 6-9 and 13-15, "a search server" is not directly or positively describing as "a means of capable of instructing" in the specification (see paragraph 0018). Thus, it does no useful work.

The claims lack the necessary physical articles or objects to constitute a machine or a manufacture within the meaning of 35 USC 101. They are clearly not a series of steps or act to be a process nor are they a combination of chemical compounds to be a composition of matter. As such, they fail to fall within a statutory category. They are, at best, functional descriptive material *per se*.

Descriptive material can be characterized as either "functional descriptive material" or "nonfunctional descriptive material." Both types of "descriptive material" are nonstatutory when claimed as descriptive material *per se*, 33 F.3d at 1360, 31 USPQ2d at 1759. When functional descriptive material is recorded on some computer-readable medium, it becomes structurally and functionally interrelated to the medium and will be statutory in most cases since use of technology permits the function of the descriptive material to be realized. Compare *In re Lowry*, 32 F.3d 1579, 1583-84, 32 USPQ2d 1031, 1035 (Fed. Cir. 1994)

Merely claiming nonfunctional descriptive material, i.e., abstract ideas, stored on a computer-readable medium, in a computer, or on an electromagnetic carrier signal, does not make it statutory. See *Diehr*, 450 U.S. at 185-86, 209 USPQ at 8 (noting that

of a general purpose computer.").

the claims for an algorithm in *Benson* were unpatentable as abstract ideas because "[t]he sole practical application of the algorithm was in connection with the programming

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Claim Rejections - 35 USC § 112

- 6. The following is a quotation of the first paragraph of 35 U.S.C. 112:
 - The specification shall contain a written description of the invention, and of the manner and process of making and using it, in such full, clear, concise, and exact terms as to enable any person skilled in the art to which it pertains, or with which it is most nearly connected, to make and use the same and shall set forth the best mode contemplated by the inventor of carrying out his invention.
- 7. Claims 1, 6 and 16 are rejected under 35 U.S.C. 112, first paragraph, as failing to comply with the written description requirement. The claim(s) contains subject matter which was not described in the specification in such a way as to reasonably convey to one skilled in the relevant art that the inventor(s), at the time the application was filed, had possession of the claimed invention. As in claims 1, 6 and 16, the clause "... generated from a previous search of a document database;" was not described in the Specification.

Claim Objections

8. Claims 1, 6, 10, 11, 12, 13, 14, 15, 16, 21, 22, & 23 are objected to because of the following informalities: the subscript (i, j) in the document database and x_{ij} should be spell out or indicate clearly in the claim language, (That is, what is the meaning of i, j, and x). Appropriate correction is required.

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Claim Rejections - 35 USC § 102

9. The following is a quotation of the appropriate paragraphs of 35 U.S.C. 102 that form the basis for the rejections under this section made in this Office action:

A person shall be entitled to a patent unless -

- (b) the invention was patented or described in a printed publication in this or a foreign country or in public use or on sale in this country, more than one year prior to the date of application for patent in the United States.
- 10. Claims 1-3, 6-9, 10-15,16-18 and 21-23, as the best understanding of the examiner, are rejected under 35 U.S.C. 102(b) as being anticipated by US Patent No. 5,454,105 issued to Hatakeyama et al. (hereinafter Hatakeyama).

With respect to claim 1, Hatakeyama teaches a document search system (fig. 5, a document search and method system for searching and retrieving full text of documents from a document database or databases: abstract, col. 1, lines 15-20 and col. 10, lines 8-40), comprising:

an associative server which is capable of instructing a document search by specifying a document database; to be searched next among a plurality of document databases based on a search result generated from a previous search of a document database; (the document search system or server consisting of a search function/unit is for searching or retrieving full of text of documents containing a particular character string or strings from document database or databases, a set of search results containing the search term(s) (search character strings) of the search processing performed in the past may be provided in correspondence to the search request sources: figs. 4, 5, abstract, col. 6, lines 8-67); and

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an associative search recording table which records the number of times X_{ij} of searching said document database_i based on the search result generated from the previous searches of said document database_i (fig. 17 shown the number of times of searching full text of documents containing a particular character string or string from a document database or databases and the search result set is stored in the table: col. 17, lines 10-28; col. 1, lines 12-20; also see fig. 22, col. 19, lines 47-60).

With respect to claim 2, Hatakeyama teaches changing a showing order of document databases to be searched by using said associative search recording table (the ordering of database is displayed: col. 19, lines 47-60).

With respect to claim 3, Hatakeyama teaches wherein a differing said associative search recording table is stored for each user, and, by using said associative search recording table for each user, a showing order of document databases to be searched is changed according to a user (this a document search system for a plurality of users to perform the search and who might be enable to change the search such as condition, selecting of input database: col. 17, lines 40-55, col. 10, lines 50-55 and col. 1, lines 20-25).

With respect to claim 6, Hatakeyama teaches associative means which is capable of instructing a document search by specifying a document database_i to be searched next among a plurality of document databases based on a search result generated from a previous search of a document database_i (the document search system or server consisting of a search function/unit is for searching or retrieving full of text of documents containing a particular character string or strings from document

database or databases, a set of search results containing the search term(s) (search character strings) of the search processing performed in the past may be provided in correspondence to the search request sources: figs. 4, 5, abstract, col. 6, lines 8-67);

search query constructing means for sending the search query analyzed by said search query analyzing means to the document database specified by the search client (the search requests are accumulated in the waiting queue: col. 3, lines 1-10);

means for sending a search result of said specified document database to said search client (sending the search result: col. 3, lines 5-10); and

associative search recording table storing means for storing an associative search recording table recording the number of times Xij of searching a document database j based on a search result of a document database i (fig. 17 shown the number of times of searching full text of documents containing a particular character string or string from a document database or databases and the search result set is stored in the table: col. 17, lines 10-28; col. 1, lines 12-20; also see fig. 22, col. 19, lines 47-60).

With respect to claim 7, Hatakeyama teaches showing order changing means for changing a showing order of document databases to be searched and to be shown to said search client by using data from said associative search recording table (the ordering of database is displayed: col. 19, lines 47-60).

With respect to claim 8, Hatakeyama teaches associative search recording table storing means which stores an associative search recording table for each user, and the showing order changing means for changing a showing order of document databases to

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be searched and to be shown to said search client according to a user by using said associative search recording table for each user (this a document search system for a plurality of users to perform the search and who might be enable to change the search such as condition, selecting of input database: col. 17, lines 40-55, col. 10, lines 50-55 and col. 1, lines 20-25).

With respect to claim 10, Hatakeyama teaches wherein for each document database of a plurality of select document databases, the associative search recording table has plural entries with differing entries for recording a respective number of times xij of searching the document database j based on a search result of differing ones of document databases i, respectively (the set of search results based on the search requests from users inputted to the system accumulated in waiting queue: col. 2, lines 62-67 and col. 3, lines 1-10).

With respect to claim 11, Hatakeyama teaches storing, in the associative search recording table, a number of times xij of searching a document database j based on a search result of a keyword I (col. 4, lines 44-60 and col. 5, lines 4-32).

With respect to claim 12, Hatakeyama teaches wherein for each document database of a plurality of select document databases, the associative search recording table has plural entries with differing entries for recording a respective number of times xij of searching the document database j based on a search result of differing ones of document databases or keywords i, respectively (fig. 17 shown the number of times of searching full text of documents containing a particular character string or string from a document database or databases and the search result set is stored in the table: col.

17, lines 10-28; col. 1, lines 12-20; also see fig. 22, col. 19, lines 47-60 and col. 4, lines 44-60 and col. 5, lines 4-32).

With respect to claim 13, Hatayama teaches wherein for each document database of a plurality of select document databases, the associative search recording table has plural entries with differing entries for recording a respective number of times xij of searching the document database j based on a search result of differing ones of document databases i, respectively (fig. 17 shown the number of times of searching full text of documents containing a particular character string or string from a document database or databases and the search result set is stored in the table: col. 17, lines 10-28; col. 1, lines 12-20; also see fig. 22, col. 19, lines 47-60 and col. 4, lines 44-60 and col. 5, lines 4-32).

With respect to claim 14, Hatakeyama teaches storing, in the associative search recording table, a number of times xij of searching a document database j based on a search result of a keyword I (col. 4, lines 44-60 and col. 5, lines 4-32).

With respect to claim 15, Hatakeyama teaches wherein for each document database of a plurality of select document databases, the associative search recording table has plural entries with differing entries for recording a respective number of times xij of searching the document database j based on a search result of differing ones of document databases or keywords i, respectively (fig. 17 shown the number of times of searching full text of documents containing a particular character string or string from a document database or databases and the search result set is stored in the table: col.

17, lines 10-28; col. 1, lines 12-20; also see fig. 22, col. 19, lines 47-60 and col. 4, lines 44-60 and col. 5, lines 4-32).

With respect to claim 16, Hatakeyama teaches a document search method (fig. 5, a document search and method system for searching and retrieving full text of documents from a document database or databases: abstract, col. 1, lines 15-20 and col. 10, lines 8-40) comprising the steps of:

instructing a document search by specifying a document database_i to be searched next among a plurality of document databases based on a search result generated from a previous search of a document database_i (the document search system or server consisting of a search function/unit is for searching or retrieving full of text of documents containing a particular character string or strings from document database or databases, a set of search results containing the search term(s) (search character strings) of the search processing performed in the past may be provided in correspondence to the search request sources: figs. 4, 5, abstract, col. 6, lines 8-67);

storing an associative search recording table which records the number of times Xij of searching a document database j based on a search result of a document database I (fig. 17 shown the number of times of searching full text of documents containing a particular character string or string from a document database or databases and the search result set is stored in the table: col. 17, lines 10-28; col. 1, lines 12-20; also see fig. 22, col. 19, lines 47-60); and

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using data from said associative search recording table to help specify a document database to be searched next among a plurality of document databases (col. 4, lines 8-22 and lines 44-60).

With respect to claim 17, Hatakeyama teaches changing a showing order of document databases to be searched by using data from said associative search recording table (the ordering of database is displayed: col. 19, lines 47-60).

With respect to claim 18, Hatakeyama teaches wherein a differing said associative search recording table is stored for each user, and, by using said associative search recording table for each user, a showing order of document databases to be searched is changed according to a user (this a document search system for a plurality of users to perform the search and who might be enable to change the search such as condition, selecting of input database: col. 17, lines 40-55, col. 10, lines 50-55 and col. 1, lines 20-25).

Claim 21 is essentially the same as claim 10 except that it is directed to a method rather than a system, and is rejected for the same reason as applied to the claim 10 hereinabove.

Claim 22 is essentially the same as claim 11 except that it is directed to a method rather than a system, and is rejected for the same reason as applied to the claim 11 hereinabove.

Claim 23 is essentially the same as claim 12 except that it is directed to a method rather than a system, and is rejected for the same reason as applied to the claim 12 hereinabove.

Claim Rejections - 35 USC § 103

- 11. The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:
 - (a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negatived by the manner in which the invention was made.
- 12. This application currently names joint inventors. In considering patentability of the claims under 35 U.S.C. 103(a), the examiner presumes that the subject matter of the various claims was commonly owned at the time any inventions covered therein were made absent any evidence to the contrary. Applicant is advised of the obligation under 37 CFR 1.56 to point out the inventor and invention dates of each claim that was not commonly owned at the time a later invention was made in order for the examiner to consider the applicability of 35 U.S.C. 103(c) and potential 35 U.S.C. 102(e), (f) or (g) prior art under 35 U.S.C. 103(a).
- 13. Claims 4-5, 9 and 19-20 are rejected under 35 U.S.C. 103(a) as being unpatentable over US Patent No. 5,454,105 issued to Hatakeyama et al. (hereinafter Hatakeyama) in view of in view of US Patent No. 6,018,733 issued to Kirsch et al. (hereinafter Kirsch).

With respect to claims 4-5, Hatakeyama discloses a document search system as discussed in claim 1.

Hatakeyama teaches a document search system for allowing a plurality of users to searching a full-text of documents in a document database or databases and the set of search results are stored in a table based on the number of times of searching the

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document databases. Hatakeyama does not teach calculating a registration fee of each document database by using said associative search recording table.

However, Kirsch teaches fees are charged based on the calculation of the number of documents that are variously searched, reviewed and retrieved in preparation of a search report from a particular database (col. 1, lines 55-63 and col. 2, lines 10-20).

Therefore, it would have been obvious to a person of ordinary skill in the art at the time the invention was made to combine the teachings of Hatakeyama with the teachings of Kirsch by incorporating the use of a calculation of access fee for each of document database to be searched. The motivation being to perform effective document searches over multiple, independent document databases, thereby, reducing the searching time required and to enhance the convenience for the user the document search to be searched and for evaluation properly the document database.

With respect to claim 9, Hatakeyama discloses a document search system as discussed in claim 6.

Hatakeyama teaches a document search system for allowing a plurality of users to searching a full-text of documents in a document database or databases and the set of search results are stored in a table based on the number of times of searching the document databases. Hatakeyama does not teach calculating a registration fee of each document database by using said associative search recording table.

However, Kirsch teaches fees are charged based on the calculation of the number of documents that are variously searched, reviewed and retrieved in

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preparation of a search report from a particular database (col. 1, lines 55-63 and col. 2, lines 10-20).

Therefore, it would have been obvious to a person of ordinary skill in the art at the time the invention was made to combine the teachings of Hatakeyama with the teachings of Kirsch by incorporating the use of a calculation of access fee for each of document database to be searched. The motivation being to perform effective document searches over multiple, independent document databases, thereby, reducing the searching time required and to enhance the convenience for the user the document search to be searched and for evaluation properly the document database.

With respect to claims 19-20, Hatakeyama discloses a document search method as discussed in claim 16.

Hatakeyama teaches a document search system for allowing a plurality of users to searching a full-text of documents in a document database or databases and the set of search results are stored in a table based on the number of times of searching the document databases. Hatakeyama does not teach calculating a registration fee of each document database by using said associative search recording table.

However, Kirsch teaches fees are charged based on the calculation of the number of documents that are variously searched, reviewed and retrieved in preparation of a search report from a particular database (col. 1, lines 55-63 and col. 2, lines 10-20).

Therefore, it would have been obvious to a person of ordinary skill in the art at the time the invention was made to combine the teachings of Hatakeyama with the

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teachings of Kirsch by incorporating the use of a calculation of access fee for each of document database to be searched. The motivation being to perform effective document searches over multiple, independent document databases, thereby, reducing the searching time required and to enhance the convenience for the user the document search to be searched and for evaluation properly the document database.

Conclusion

14. **THIS ACTION IS MADE FINAL.** Applicant is reminded of the extension of time policy as set forth in 37 CFR 1.136(a).

A shortened statutory period for reply to this final action is set to expire THREE MONTHS from the mailing date of this action. In the event a first reply is filed within TWO MONTHS of the mailing date of this final action and the advisory action is not mailed until after the end of the THREE-MONTH shortened statutory period, then the shortened statutory period will expire on the date the advisory action is mailed, and any extension fee pursuant to 37 CFR 1.136(a) will be calculated from the mailing date of the advisory action. In no event, however, will the statutory period for reply expire later than SIX MONTHS from the mailing date of this final action.

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Contact Information

15. Any inquiry concerning this communication or earlier communications from the examiner should be directed to Anh Ly whose telephone number is (571) 272-4039 or via E-Mail: ANH.LY@USPTO.GOV (Written Authorization being given by Applicant (MPEP 502.03 [R-2])) or fax to (571) 273-4039 (Examiner's personal Fax No.). The examiner can normally be reached on TUESDAY – THURSDAY from 8:30 AM – 3:30 PM. If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, John Breene, can be reached on (571) 272-4107.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see http://pair-direct.uspto.gov. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). Any response to this action should be mailed to: Commissioner of Patents and Trademarks, Washington, D.C. 20231, or faxed to:

Central Fax Center: (571) 273-8300

ANH LY (7) OCT. 19th, 2006

JOHN BREENE
SUPERVISORY PATENT EXAMINER
TECHNOLOGY CENTER 2100